Embodied Question Answering

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Abstract

We present a new AI task – Embodied Question Answering (EmbodiedQA) – where an agent is spawned at a random location in a 3D environment and asked a question ('What color is the car?'). In order to answer, the agent must first intelligently navigate to explore the environment, gather necessary visual information through first-person (egocentric) vision, and then answer the question ('orange').

EmbodiedQA requires a range of AI skills – language understanding, visual recognition, active perception, goaldriven navigation, commonsense reasoning, long-term memory, and grounding language into actions. In this work, we develop a dataset of questions and answers in House3D environments [1], evaluation metrics, and a hierarchical model trained with imitation and reinforcement learning.

1. Introduction

The embodiment hypothesis is the idea that intelligence emerges in the interaction of an agent with an environment and as a result of sensorimotor activity.

Smith and Gasser [2]

Our long-term goal is to build intelligent agents that can *perceive* their environment (through vision, audition, or other sensors), *communicate* (*i.e.*, hold a natural language dialog grounded in the environment), and *act* (*e.g.* aid humans by executing API calls or commands in a virtual or embodied environment). In addition to being a fundamental scientific goal in artificial intelligence (AI), even a small advance towards such intelligent systems can *fundamentally change our lives* – from assistive dialog agents for the visually impaired, to natural-language interaction with self-driving cars, in-home robots, and personal assistants.

As a step towards goal-driven agents that can perceive, communicate, and execute actions, we present a new AI task – *Embodied Question Answering* (EmbodiedQA) – along

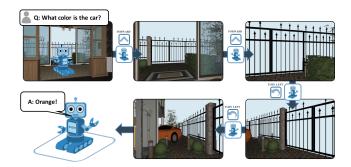


Figure 1: Embodied Question Answering – EmbodiedQA– tasks agents with navigating rich 3D environments in order to answer questions. These agents must jointly learn language understanding, visual reasoning, and goal-driven navigation to succeed.

with a dataset of questions in virtual environments, evaluation metrics, and a deep reinforcement learning (RL) model.

Concretely, the EmbodiedQA task is illustrated in Fig. 1 – an agent is spawned at a random location in an environment (a house or building) and asked a question (e.g. 'What color is the car?'). The agent perceives its environment through first-person egocentric vision and can perform a few atomic actions (move-forward, turn, strafe, etc.). The goal of the agent is to intelligently navigate the environment and gather visual information necessary for answering the question.

EmbodiedQA is a challenging task that subsumes several fundamental problems as sub-tasks. Clearly, the agent must understand language (*what is the question asking?*) and vision (*what does a 'car' look like?*), but it must also learn:

Active Perception: The agent may be spawned anywhere in the environment and may not immediately 'see' the pixels containing the answer to the visual question (*i.e.* the car may not be visible). Thus, the agent *must* move to succeed – controlling the pixels that it perceives. The agent must learn to map its visual input to the correct actions based on its perception of the world, the underlying physical constraints, and its understanding of the question.

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Commonsense Reasoning: The agent is not provided a floor-plan or map of the environment, and must navigate from egocentric views alone. Thus, it must learn common sense (Where am I? Where are cars typically found? Where is the garage with respect to me?) similar to how humans navigate in an unfamiliar house (The car is probably in the garage outside, so I should find an exit door).

Language Grounding: One commonly noted shortcoming of modern vision-and-language models is their lack of grounding – these models often fail to associate entities in text with corresponding image pixels, relying instead on dataset biases to respond seemingly intelligently even when attending to irrelevant regions [3, 4]. In EmbodiedQA, we take a goal-driven view of grounding – our agent grounds a question not into pixels but into a sequence of actions ('garage' *means* to navigate towards the exterior of the house where the 'car' is usually parked).

Credit Assignment: From a reinforcement learning perspective, EmbodiedQA presents a particularly challenging learning problem. Consider the question 'How many rooms contain chairs?' - how does an agent discover that this question involves exploring the environment to visit 'rooms', detecting 'chairs', incrementing a count every time a 'chair' is in the view (without double/multiple counting), and stopping when no more 'rooms' can be found? All without knowing what a 'room' is or how to find it, what a 'chair' looks like, or what counting is. To succeed, the agent must execute a somewhat precise sequence of hundreds of inter-dependent actions (forward, forward, turn-right, forward, forward, ..., turn-left, '4') - all to be learned from a reward signal that says '4' is the right answer and anything else is incorrect. The task is complex enough that most random action sequences result in failure, and when things do go wrong, it's difficult for the agent to know why - was the question misunderstood? Or can the agent not recognize chairs? Or did the agent navigate incorrectly? Or was the counting wrong?

As a first step in this challenging space, we judiciously scope out a problem space – environments, question types, and learning paradigm – that allows us to augment sparse RL rewards with imitation learning (showing the agent expert trajectories) and reward shaping [5] (giving intermediate 'closer/farther' navigation rewards). Specifically, our approach follows the recent paradigm from robotics and deep RL [6, 7] – the training environments are sufficiently *instrumented*, and provide access to the agent location, RGB, depth & semantic annotations of the visual environment, and allow for computing obstacle-avoiding shortest navigable paths from the agent to any target location.

Crucially, at test time, our agents operate entirely from egocentric RGB vision alone – no structured representation of the environments, no access to a map, no explicit localization of the agent or mapping of the environment, no A* or any other heuristic planning, no hand-coded knowledge about the environment or task, and no pre-processing phase for the agent to build a map of the environment. The agent in its entirety – vision, language, navigation, answering – is trained from raw sensory input (pixels and words) to goal-driven multi-room navigation to visual question answering!

Contributions. We make the following contributions:

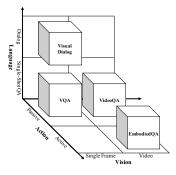
- We propose a new AI task: EmbodiedQA, where an agent is spawned in an environment and must intelligently navigate from egocentric vision to gather the necessary information to answer questions about the environment.
- We introduce a hierarchical navigation module that decomposes navigation into a 'planner' that selects actions, and a 'controller' that executes these primitive actions. When the agent decides it has seen the required visual information, it stops navigating and outputs an answer.
- We initialize our agents with imitation learning and show that agents can answer questions more accurately after fine-tuning with RL – that is, when allowed to control their own navigation for the explicit purpose of answering questions accurately. Unlike some prior work, we explicitly test generalization to unseen environments.
- We evaluate our agents in House3D [1], a rich, interactive 3D environment based on human-designed indoor scenes from SUNCG [8]. These diverse, simulated environments enable us to test generalization of our agent across floorplans, object & room configurations – without safety, privacy, expense concerns inherent to real robotic platforms.
- We introduce EQA, a dataset of visual questions and answers grounded in House3D. The different question types test a range of agent abilities scene recognition (location), spatial reasoning (preposition), color recognition (color). While the EmbodiedQA task definition supports free-form natural language questions, we represent each question in EQA as a functional program that can be programmatically generated and executed on the environment to determine the answer. This enables us to control the distribution of question-types and answers, deter algorithms from exploiting dataset bias [4, 9], and provide fine-grained breakdown of performance by skill.
- We integrated House3D with Amazon Mechanical Turk (AMT), allowing humans to remotely operate the agent in real time, and collected expert demonstrations of questionguided navigation for EmbodiedQA that serve as a benchmark to compare our proposed and other future models.

All our code and data will be made publicly available¹.

¹embodiedqa.org

2. Related Work

We place our work in context by arranging prior work along the axes of vision (from a single-frame to video), language (from single-shot question-answering to dialog), and action (from passive observers to active agents). When viewed from this perspective, EmbodiedQA



presents a novel problem configuration – single-shot QA about videos captured by goal-driven active agents. Next, we contrast this against various 2D slices in this space.

VQA: Vision + Language. Like EmbodiedQA, image and video question answering tasks [10–14] require reasoning about natural language questions posed about visual content. The crucial difference is the *lack of control* – these tasks present answering agents with a fixed view of the environment (*i.e.* one or more images from some fixed trajectory through the world) from which the agent must answer the question, never allowing the agents to *actively* perceive. In contrast, EmbodiedQA agents control their trajectory. While the task is significantly harder than VQA (*i.e.* most random paths are useless), EmbodiedQA agents have the flexibility to avoid confusing viewpoints and intelligently seek visual input to maximize answer confidence.

Visual Navigation: Vision + Action. The problem of navigating in an environment based on visual perception has long been studied in vision and robotics (see [15] for an extensive survey). Classical techniques divide navigation into two distinct phases - mapping (where visual observations are used to construct a 3D model of the environment), and planning (which selects paths based on this map). Recent developments in deep RL have proposed fused architectures that go directly from egocentric visual observations to navigational actions [16–22]. We model our agents as similar pixel-to-action navigators. The key distinction in EmbodiedQA is how the goals are specified. Visual navigation typically specifies agent goals either implicitly via the reward function [18, 19] (thus training a separate policy for each goal/reward), or explicitly by conditioning on goal state representations [23] like images of target objects [17, 24]. In contrast, EmbodiedQA specifies agent goals via language, which is inherently compositional and renders training a separate policy for every task (question) infeasible.

Situated Language Learning: Language + Action. Inspired by the classical work of Winograd [25], a number of recent works have revisited grounded language learning by situating agents in simple globally-perceived environments and tasking them with goals specified in natural

language. The form and structure of these goal specifications range from declarative programs [26], to simple templated commands [27,28], to free-form natural language instructions [29,30]. One key distinction in EmbodiedQA, of course, is visual sensing – the environment is only partially observable, *i.e.* the agent does not have access to the floor plan, object labels, attributes, *etc.*, and must extract this information purely from first-person visual sensing.

Embodiment: Vision + Language + Action. Closest to EmbodiedQA are recent works that extend the situated language learning paradigm to settings where agents' perceptions are local, purely visual, and change based on their actions – a setting we refer to as embodied language learning.

In recent work, Hermann et al. [20] and Chaplot et al. [16] both develop embodied agents in simple game-like environments to understand simple 'go to X' or 'pick up X' style commands where X could specify an object (and possibly some of its attributes). Similarly, Oh et al. [22] develop agents in a simple maze world and task them to follow a series of templated instructions. In contrast, our EmbodiedQA environments consist of multi-room homes (\sim 8 per home) that are densely populated by a variety of objects (\sim 54 unique objects per home). Furthermore, the instructions and commands in these works are low-level and more closely related to actions than questions presented in EQA.

Concurrent with our work, Anderson *et al.* [31] proposed goal-driven navigation from natural language instructions in real 3D environments, and Gordon *et al.* [32] studied the task of EmbodiedQA in the AI2-THOR environment [33].

Interactive Environments. There are several interactive environments commonly used in the community, ranging from simple 2D grid-worlds (e.g. XWORLD [27]), to 3D game-like environments with limited realism (e.g. Deep-Mind Lab [34] or Doom [16]), to more complex, realistic environments (e.g. AI2-THOR [18], Matterport3D [31], Stanford 2D-3D-S [35]). While realistic environments provide rich representations of the world, most consist of only a handful of environments due to the high difficulty of their creation. On the other hand, large sets of synthetic environments can be programmatically generated; however, they typically lack realism (either in appearance or arrangement). In this work, we use the House3D [1] environment as it strikes a useful middle-ground between being sufficiently realistic and providing access to a large and diverse set of room layouts and object categories. See Sec. 3.1 for details.

Hierarchical Agents. We model our EmbodiedQA agents as deep hierarchical networks that decompose the overall control problem such that a higher-level planner invokes lower-level controls to issue primitive actions. Hierarchical modeling has recently shown promise in deep reinforcement learning [22,28,36]. Our model also draws inspiration from Graves' work on Adaptive Computation Time [37].



dining room patio living room office bathroom lobby bedroom elevator garage kitchen balcony

bookshelf wardrobe cabinet dryer computer fireplace whiteboard plates fish tank dishwasher microwave water dispenser ottoman mirror tv stand stereo set chessboard playstation vacuum cleaner refrigerator coffee machine shoe rack range oven heater bathtub kettle dresser knife rack towel rack loudspeaker utensil holder fruit bowl television dressing table cutting board shower washer ironing board food processor

(a) Sample environments [1,8]

(b) Queryable rooms

(c) Queryable objects

Figure 2: The EQA dataset is built on a subset of House3D environments [1] and objects from the SUNCG [8] dataset. We show (a) top-down views of sample environments, and the (b) queryable rooms and (c) queryable objects that questions in the EQA dataset refer to.

piano

toilet

table

xbox

pan

bed

cup

sink sofa

desk

3. EOA Dataset: Ouestions In Environments

Having placed EmbodiedOA in context of prior work, we now dive deeper by outlining the environments in which our agents are embodied and the questions they must answer. We will publicly release our curated EQA dataset, and dataset generation code to advance research in this area.

3.1. House3D: Simulated 3D Environments

We instantiate EmbodiedQA in House3D [1], a recently introduced rich, simulated environment based on 3D indoor scenes from the SUNCG dataset [8]. Concretely, SUNCG consists of synthetic 3D scenes with realistic room and furniture layouts, manually designed and crowdsourced using an online interior design interface (Planner5D [38]). Each scene was further verified as realistic by majority vote of 3 human annotators. In total, SUNCG contains over 45k environments with 49k valid floors, 404k rooms containing 5 million object instances of 2644 unique objects from 80 different categories. House3D converts SUNCG from a static 3D dataset to a set of simulated environments, where an agent (approximated as a 1 meter high cylinder) may navigate under simple physical constraints (not being able to pass through walls/objects). Fig. 2a shows top-down views of sample environments. Full details can be found in [1].

We build EQA on a pruned subset of environments from House3D. First, we only consider environments where all 3 SUNCG annotators consider the scene layout realistic. Next, we filter out atypical environments such as those lacking 'ground' or those too small/large (only keeping houses with an internal area of $300-800m^2$ covering at least 1/3 the total ground area). Finally, we require houses to contain at least one kitchen, living room, dining room, and bedroom.

3.2. Question-Answer Generation

We would like to pose questions that test the agent's ability to ground language, use common sense, reason visually, and navigate unseen environments. For e.g., answering 'What color is the car?' requires grounding the symbol 'car', reasoning that cars are typically outside, navigating outside, exploring to find the car, and visually inspecting its color.

We draw inspiration from the CLEVR [39] dataset, and programmatically generate a dataset (EQA) of grounded questions and answers. This gives us the ability to carefully control the distribution of question-types and answers in the dataset, and deter algorithms from exploiting dataset bias.

Queryable Rooms and Objects. Figs. 2b, 2c show the 12 queryable rooms and 50 objects in EQA. We exclude objects and rooms from SUNCG that are obscure (e.g. 'loggia' rooms) or difficult to visually resolve (e.g. tiny objects like 'light switches'). We merge some semantically similar object categories (e.g. 'teapot', 'coffee kettle'), and singular vs. plural forms (e.g. 'book', 'books') to reduce ambiguity.

Questions as Functional Programs. Each EQA question is represented as a functional program that can be executed on the environment yielding an answer. These programs are composed of a small set of elementary operations (e.g. select (\cdot) , singleton (\cdot) , query (\cdot) , etc.) that operate on sets of room or object annotations and can be arbitrarily combined. The number and the order of evaluation of these elementary operations defines a question type or template. For instance, one question type in EQA is location:

location: 'What room is the <OBJ> located in?'

where <OBJ> refers to one of the queryable objects. The sequence of elementary operations for this question type is:

 $select(objects) \rightarrow singleton(objects) \rightarrow query(location)$

The first function, select (objects), retrieves the objects from the environment. The second, singleton (objects), retains objects that occur once in the entire house. Finally, query (location), generates a question (by filling in the appropriate template) for each such object. Note that the singleton (objects) operation is particularly important to generate unambiguous questions. For instance, if there are two air conditioners in the house, the question 'What room is the air conditioner located in?' is ambiguous, with potentially two different answers depending on which instance is being referred to.

Question Types. Overall, we have the following question types and associated templates in the EQA dataset:

location: *'What room is the <OBJ> located in?'*

color: *'What color is the <OBJ>?'*

color_room: 'What color is the <OBJ> in the <ROOM>?'

preposition: 'What is <on/above/below/next-to> the

<OBJ> in the <ROOM>?'

existence: 'Is there a <OBJ> in the <ROOM>?'

logical: 'Is there a(n) < OBJ1 > and a(n) < OBJ2 > in

the <ROOM>?'

count: 'How many <OBJS> in the <ROOM>?'
room_count: 'How many <ROOMS> in the house?'
distance: 'Is the <OBJ1> closer to the <OBJ2> than

to the <OBJ3> in the <ROOM>?"

These questions test a diverse set of agent abilities including object detection (existence), scene recognition (location), counting (count), spatial reasoning (preposition), color recognition (color), and logic (logical). Moreover, many of these questions require multiple skills -e.g., answering a distance question requires recognizing the room and objects as well as reasoning about their spatial relationships. Further, to do so, the agent must navigate the environment to find the room, then look around to find the objects, and remember their positions through time (if they are not simultaneously visible).

EQA is easily extensible to include new elementary operations, question types, and templates as needed to increase the difficulty of the task to match the development of new models. As a first step in this challenging space, our experiments focus on EQA v1, which consists of 4 question types – location, color, color_room, preposition. One virtue of these questions is that there is a single target queried object (<OBJ>), which enables the use of shortest paths from the agent's spawn location to the target as expert demonstrations for imitation learning (details in Section 4.1). Finally, we stress that EQA is not a static dataset, but rather a test for a curriculum of capabilities that we would like to achieve in embodied communicating agents.

Question-Answer Generation and Dataset Bias. In theory, we now have the ability to automatically generate all valid questions with associated answers by executing the functional programs on the environment's annotations provided by SUNCG. However, careful consideration is needed to ensure the developed dataset is balanced over answers.

For each generated question (e.g. 'What room is the refrigerator located in?'), we execute its functional form on all associated environments in the dataset (i.e. those containing refrigerators) to compute the answer distribution for this question. We exclude questions for which the normalized entropy of the answer distribution is below 0.5 - e.g., an agent can simply memorize that refrigerators are almost always in kitchens, so this question would be discarded. We also exclude questions occurring in fewer than four environments as the normalized entropy estimates are unreliable.

Finally, to benchmark performance of agents vs. humans on EQA, it is important that questions are not tedious for humans to answer. We do not ask count questions for objects with high counts (≥ 5) or distance questions for object triplets with imperceptible distance differences. We pick these thresholds and room/object blacklists manually.

Complete discussion of the question templates, functional programs, elementary operations, answer distributions, and various checks and balances is available in the supplement.

Environments		Unique Total Questions Questions		32.5% color location 38.7%		
train	643	147	4246			
val	67	104	506	25.9%		
test	57	105	529	color_room 2.9% preposition		

Figure 3: Overview of the EQA v1 dataset including dataset split statistics (left) and question type breakdown (right).

EQA v1 Statistics. The EQA v1 dataset consists of over 5000 question across over 750 environments, referring to a total of 45 unique objects in 7 unique room types. The dataset is split into train, val, test such that there is no overlap in environments across splits. Fig. 3 shows the dataset splits and question type distribution. Approximately 6 questions are asked per environment on average, 22 at most, and 1 at fewest. There are relatively few preposition questions as many frequently occurring spatial relations are too easy to resolve without exploration and fail the entropy thresholding. We will make EQA v1 and the entire question generation engine publicly available.

4. A Hierarchical Model for EmbodiedQA

We now introduce our neural architecture for EmbodiedQA. Recall that the agent is spawned at a random location in the environment, receives a question, and perceives only through a single egocentric RGB camera. Importantly, unlike some prior work [26–30], in EmbodiedQA, the agent does not receive any global or structured representation of the environment (map, location, rooms, objects), or of the task (the functional program that generated the question).

Overview of the Agent. The agent has 4 natural modules – vision, language, navigation, answering – and is trained from raw sensory input (pixels and words) to goal-driven multi-room indoor navigation to visual question answering. The modules themselves are built up largely from conventional neural building blocks – Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs). Our navigation module is inspired by the idea of Adaptive Computation Time (ACT) RNNs by Graves [37], which is an elegant approach for allowing RNNs to learn how many computational steps to take between receiving an input and emitting an output. We make use of this idea in

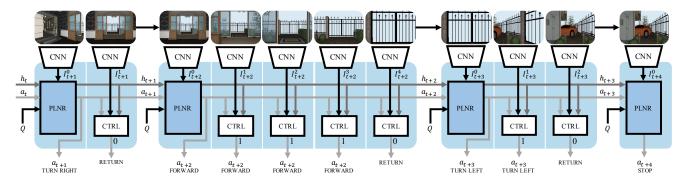


Figure 4: Our PACMAN navigator decomposes navigation into a planner and a controller. The planner selects actions and the controller executes these actions a variable number of times. This enables the planner to operate on shorter timescales, strengthening gradient flows.

our 'planner-controller' navigation module (PACMAN) to cleanly separate the decision between – direction (where to move, decided by a 'planner') and velocity (how far to move in one timestep, decided by a 'controller'). PACMAN is illustrated in Fig. 4. We describe the different modules next.

Vision. Our agent takes egocentric 224×224 RGB images from the House3D renderer as input, which we process with a CNN consisting of 4 $\{5 \times 5 \text{ Conv}, \text{BatchNorm}, \text{ReLU}, 2 \times 2 \text{ MaxPool}\}$ blocks, producing a fixed-size representation.

A strong visual system for EmbodiedQA should encode information about object attributes (*i.e.* colors and textures), semantics (*i.e.* object categories), and environmental geometry (*i.e.* depth). As such, we pretrain the CNN under a multi-task pixel-to-pixel prediction framework. Treating the above CNN as a shared base encoder network, we train multiple decoder heads for 1) RGB reconstruction, 2) semantic segmentation, and 3) depth estimation (annotations for which are available from the House3D renderer). See the supplementary material for model and training details.

Language. Questions are encoded with 2-layer LSTMs with 128-d hidden states. While LSTMs may be overkill for the simple questions in EQA v1, it gives us the flexibility to expand to human-written questions or more complex question templates in future. We learn *separate* question encoders for the navigation and answering modules – as each may need to focus on different parts of the question. For instance, in the question 'What color is the chair in the kitchen?', 'color' is irrelevant for navigation and 'kitchen' matters little for question answering (once in the kitchen).

Navigation. As mentioned earlier, our PACMAN navigator decomposes navigation into a 'planner', that selects actions (forward, turn-left, turn-right, stop), and a 'controller', that executes these primitive actions a variable number of times (1,2,...) before returning control back to the planner. Intuitively, this structure separates the intention of the agent (*i.e.* get to the other end of the room) from the series of primitive actions required to achieve this directive (*i.e.* 'forward, forward, forward, ...'), and is reminiscent of hierarchical RL [22, 28, 36]. This also enables the planner to operate on shorter timescales, strengthening long-term gradient flows.

Formally, let t = 1, 2, ..., T denote planner timesteps, and n = 0, 1, 2, ..., N(t) denote the variable number of controller timesteps. Let I_t^n denote the encoding of the observed image at t-th planner-time and n-th controller-time. The planner is instantiated as an LSTM. Thus, it maintains a hidden state h^t (updated only at planner timesteps), and samples action $a_t \in \{\text{forward, turn-left, turn-right, stop}\}$:

$$a_t, h_t \leftarrow PLNR(h_{t-1}, I_t^0, Q, a_{t-1}),$$
 (1)

where Q is the question encoding. After taking this action, the planner passes control to the controller, which considers the planner's state and the current frame to decide to continue performing a_t or to return control to the planner, *i.e.*

$$\{0,1\} \ni c_t^n \leftarrow \text{CTRL}\left(h_t, a_t, I_t^n\right) \tag{2}$$

If $c_t^n=1$, then the action a_t repeats and CTRL is applied to the next frame. Else if $c_t^n=0$ or a max of 5 controller-time has been reached, control is returned to the planner. We instantiate the controller as a feed-forward multi-layer perceptron with 1 hidden layer. Intuitively, the planner encodes 'intent' into h_t and a_t , and the controller keeps going until the visual input I_t^n aligns with the intent of the planner.

One forward step corresponds to at most 0.25 metres, and it takes 40 turns to turn 360° , *i.e.* one right or left turn action leads to 9° change in viewing angle. Backward and strafe motions are not allowed. We bin the continuous renderer space to a 1000×1000 grid world to check for obstacles.

Question Answering. After the agent decides to stop or a max number of actions (= 100) have been taken, the question answering module is executed to provide an answer based on the sequence of frames I_1^1,\ldots,I_T^n the agent has observed. The answering module computes image-question similarity for each of the last 5 frames via a dot product between (a) image features (passed through an fc-layer to align with question features) and (b) question encoding Q. These I-Q similarities are converted into attention weights via a softmax, and the attention-weighted image features are combined with Q (via concatenation) and passed through a softmax classifier to predict a distribution over 172 answers.

4.1. Imitation Learning and Reward Shaping

We employ a two-stage training process. First, the navigation and answering modules are independently trained using imitation/supervised learning on automatically generated expert demonstrations of navigation. Second, the navigation architecture is fine-tuned using policy gradients.

Independent Pretraining via Imitation Learning. Most questions that could be asked in EmbodiedQA do not have a natural 'correct' navigation required to answer them. As mentioned in Sec. 3.2, one virtue of EQA v1 questions is that they contain a single target queried object (<OBJ>). This lets us use shortest paths from the agent's spawn location to the target as expert demonstrations for imitation learning.

The navigation module is trained to mimic the shortest path in a teacher-forcing setting - *i.e.*, given the navigation history encoded in the hidden state of the planner, question encoding, and the current frame, the model is trained to predict the action that would keep it on the shortest path. We use a cross-entropy loss and train the model for 15 epochs. We find that even in this imitation learning case, it is essential to train the model under a distance-based curriculum. In the first epoch, we backtrack 10 steps from the target along the shortest path and initialize the agent at this point with full history of the trajectory from spawned location. We step back an additional 10 steps at each successive epoch. We train for 15 epochs total with batch size ranging from 5 to 20 (depending on path length due to memory limitations).

The answering module is trained to predict the correct answer from the question and shortest path navigation frames, with cross-entropy loss for 50 epochs and batch size of 20.

Target-aware Navigational Fine-tuning. While the navigation and answering modules that result from imitation learning perform well independently, they are poorly suited to work well with each other. Specifically, both modules are used to following the shortest path, but when in control, the navigator may generalize poorly *i.e.* go off the shortest path and still be required to decide which action to take next, a state it did not encounter during teacher-forced training. This may consequently provide unhelpful views of the target to the answering module. Thus, rather than force the answerer to provide correct answers based on noisy or absent views, we freeze it and fine-tune just the navigator.

We provide two types of rewards to the navigator: the question answering accuracy achieved at the end of the navigation and a reward shaping [5] term that gives intermediate rewards for getting closer to the target. Specifically, the answering reward is 5 if the agent chooses to stop and answers correctly and 0 otherwise. The navigational reward for forward actions is 0.005 times the reduction in navigable distance to target (there is no reward or penalty for turning).

We train with REINFORCE [40] with a running average of





Q: What color is the fish tank in the living room?

Q: What room is the vase located in?

Figure 5: Sample trajectories from PACMAN-RL projected on a floor plan (white areas are unoccupiable) and on-path egocentric views. The agent moves closer to already visible objects – potentially improving its perception of the objects. Note that the floor plan is shown only for illustration and not available to the agents. the reward as baseline. As in imitation learning, we follow a curriculum of distance between spawn and target locations.

5. Experiments and Results

The ultimate goal of an EmbodiedQA agent is to answer questions accurately. However, it is important to disentangle success/failure at the intermediate task of navigation from the downstream task of question answering.

Question Answering Accuracy. Our agent (and all baselines) produces a probability distribution over 172 answers (colors, rooms, objects). We report the mean rank (MR) of the ground-truth answer in the answer list sorted by the agent's beliefs, computed over test questions from EQA.

Navigation Accuracy. We evaluate navigation performance by reporting the distance to the target object at navigation termination $(\mathbf{d_T})$, change in distance to target from initial to final position $(\mathbf{d_\Delta})$, and the smallest distance to the target at any point in the episode $(\mathbf{d_{min}})$. All distances are measured in meters along the shortest path to the target. We also record the percentage of questions for which an agent either terminates in $(\%\mathbf{r_T})$ or ever enters $(\%\mathbf{r_{\prec}})$ the room containing the target object(s). Finally, we also report the percentage of episodes in which agents choose to terminate navigation and answer before reaching the maximum episode length $(\%\mathbf{stop})$. To sweep over the difficulty of the task, we spawn the agent 10, 30, or 50 actions away from the target and report each metric for T_{-10} , T_{-30} , T_{-50} .

Navigation Baselines. We compare our PACMAN navigator with a number of sophisticated baselines and ablations.

- **Reactive CNN.** This is a feed-forward network that uses concatenated features from the last-n frames to predict the next action. We tried $n = \{1, 3, 5, 10\}$ and report n = 5, which worked best. This is a target-agnostic baseline (*i.e.*, not aware of the question). The purpose of this baseline is to check whether memorizing frames from training environments generalizes to test (it does not).
- **Reactive CNN+Question.** This combines the frame representation (as above) with an LSTM encoding of the question to predict the next action. This is similar to the

		1 to 1 guiton					Q.1	
		$\mathbf{d_{T}}$	d_{Δ}	d_{\min}	$\%\mathbf{r_T}$	% r ₄	%stop	MR
		T_{-10} T_{-30} T_{-50}						
Baselines	Reactive	2.09 2.72 3.14	-1.44 -1.09 -0.31	0.29 1.01 1.82	50% 49% 47 %	52% 53% 48%		3.18 3.56 3.31
	LSTM	1.75 2.37 2.90	-1.10 -0.74 -0.07	0.34 1.06 2.05	55% 53% 44%	59% 57% 50%	80% 75% 80%	3.35 3.07 3.55
	Reactive+Q	1.58 2.27 2.89	-0.94 -0.63 -0.06	0.31 1.09 1.96	52% 51% 45%	55% 57% 54%		3.17 3.54 3.37
	LSTM+Q	1.13 2.23 2.89	-0.48 -0.59 -0.06	0.28 0.97 1.91	63 % 53% 45%	64% 59% 54 %	80% 71% 68%	3.11 3.39 3.31
$\sum_{i=1}^{n} \left\{ \begin{array}{c} -1 \\ -1 \end{array} \right.$	PACMAN+Q	0.46 1.50 2.74	0.16 0.15 0.12	0.42 1.42 2.63	58% 54% 45%	60% 56% 46%	100% 100% 100%	3.09 3.13 3.25
	PACMAN-RL+Q	1.67 2.19 2.86	-1.05 -0.52 0.01	0.24 0.93 1.94	57% 56% 45%	65 % 62 % 52%	32% 32% 24%	3.13 2.99 3.22
Oracle	HumanNav*	0.81 0.81 0.81	0.44 1.62 2.85	0.33 0.33 0.33	86% 86% 86%	87% 89% 89%		
	ShortestPath+VQA		0.85 2.78 4.86					3.21 3.21 3.21

Navigation

Table 1: Quantitative evaluation of EmbodiedQA agents on navigation and answering metrics for the EQA v1 test set. Ill-defined cells are marked with '-' because 1) reactive models don't have a stopping action, 2) humans pick a single answer from a drop-down list, so mean rank is not defined, 3) most distance metrics are trivially defined for shortest paths since they always end at the target object by design.

- approach of [17], with the difference that the goal is specified via a question encoding instead of a target image. Note that the action space for both the reactive baselines is {forward, turn-left, turn-right}, there is no stop token. At test time, the model is run for max no. of actions (= 100).
- LSTM+Question. The above two are memoryless navigators. This LSTM navigator takes as input the encodings of the question, current frame, and previous action, and predicts the next action. Note that these are identical inputs/outputs as our PACMAN navigator. The purpose of comparing to this ablation is to establish the benefit of our proposed hierarchical planner-controller architecture. We also compare against an ablated version of this baseline without the question encoding as input (LSTM).

Navigation Oracles. We compare against two oracles:

- HumanNav* denotes goal-driven navigations by AMT workers remotely operating the agent (* denotes that human studies were conducted on a subset of test).
- **ShortestPaths+VQA** denotes QA performance when the answerer is fed in shortest paths at *test time*. This will have the same mean rank across $T_{-10}, T_{-30}, T_{-50}$ by design, since the answering module sees identical frames.

Tab. 1 shows results of baselines compared with our model trained with imitation learning (PACMAN+Q) and finetuned with RL (PACMAN-RL+Q)². A few observations:

- All baselines are poor navigators. All baselines methods have *negative* d_{Δ} , *i.e.* they end up *farther* from the target than where they start. This confirms our intuition that EmbodiedQA is indeed a difficult problem.
- Memory helps. All models start equally far away from the target. Baselines augmented with memory (LSTM vs. Reactive and LSTM-Q vs. Reactive-Q) end closer to the target, *i.e.* achieve smaller $\mathbf{d_T}$, than those without.
- PACMAN Navigator performs best. Our proposed navigator (PACMAN+Q) achieves the smallest distance to target at termination (d_T), and the RL-finetuned navigator (PACMAN-RL+Q) achieves highest answering accuracy.

• RL agent overshoots. We observe that while PACMAN-RL+Q gets closest to the target (least d_{min}) and enters the target room most often (highest $%r_{\downarrow}$), it does *not* end closest to the target (does not achieve lowest d_T). These and our qualitative analysis suggests that this is because RL-finetuned agents learn to explore, with a lower stopping rate (%stop), and often overshoot the target. This is consistent with observations in literature [7]. This does not hurt QA accuracy because the answerer can attend to the last 5 frames along the trajectory, and can potentially be corrected by including a small penalty for each action.

OA

• Shortest paths are not optimal for VQA. A number of methods outperform ShortestPath+VQA in terms of answering accuracy. This is because while the shortest path takes an agent right up to the target object, it may not provide the best vantage or context to answer the question.

6. Conclusion

We present EmbodiedQA – a new task where an agent is spawned at a random location in a 3D environment and asked a question. In order to answer, the agent must intelligently navigate to explore the environment, gather information through first-person (egocentric) vision, and then answer the question. We develop EQA, a dataset of questions in House3D environments, and a novel hierarchical neural model, trained via imitation and reinforcement learning. We benchmark performance of our model against baselines and oracles. We also collect human demonstrations by connecting our environment to Mechanical Turk and letting workers remotely control embodied agents in real time. All our code, data, and infrastructure will be publicly available.

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²Fig. 5 and embodiedqa.org show example navigation and answer predictions by our agent.

Appendix Overview

This supplementary document is organized as follows:

- Sec. A presents the question-answer generation engine in detail, including functional programs associated with questions, and checks and balances in place to avoid ambiguities, biases, and redundancies.
- Sec. B describes the CNN models that serve as the vision module for our EmbodiedQA model. We describe the model architecture, along with the training details, quantitative as well as qualitative results.
- Sec. C describes the answering module in our agent.
- Sec. D reports machine question answering performance conditioned on human navigation paths (collected via human studies on Amazon Mechanical Turk).
- Finally, embodiedqa.org shows example navigation and answer predictions by our agent.

A. Question-Answer Generation Engine

Recall that each question in EQA is represented as a functional program that can be executed on the environment yielding an answer. In this section, we describe this process in detail. In the descriptions that follow, an 'entity' can refer to either a queryable room or a queryable object from the House3D [1] environment.

Functional Forms of Questions. The functional programs are composed of elementary operations described below:

- 1. select (entity): Fetches a list of entities from the environment. This operation is similar to the 'select' query in relational databases.
- 2. singleton(entity): Performs filtering to retain entities that occur exactly once. For example, calling singleton(rooms) on the set of rooms ['living_room', 'bedroom', 'bedroom'] for a given house will return ['living_room'].
- 3. blacklist (template): This function operates on a list of object entities and filters out a pre-defined list of objects that are blacklisted for the given template. We do not ask questions of a given template type corresponding to any of the blacklisted objects. For example, if the blacklist contains the objects {'column', 'range_hood', 'toy'} and the objects list that the function receives is {'piano', 'bed', 'column'}, then the output of the blacklist() function is: {'piano', 'bed'}

- 4. query(template): This is used to generate the questions strings for the given template on the basis of the entities that it receives. For example, if query(location) receives the following set of object entities as input: ['piano', 'bed', 'television'], it produces 3 question strings of the form: what room is the <OBJ> located in? where <OBJ> = {'piano', 'bed', 'television'}.
- 5. relate(): This elementary operation is used in the functional form for preposition questions. Given a list of object entities, it returns a subset of the pairs of objects that have a {'on', 'above', 'under', 'below', 'next to'} spatial relationship between them.
- distance(): This elementary operation is used in the functional form for distance comparison questions. Given a list of object entities, it returns triplets of objects such that the first object is closer/farther to the anchor object than the second object.

Having described the elementary operations that make up our functional forms, the explanations of the functional forms for each question template is given below. We categorize the question types into 3 categories based on the objects and the rooms that they refer to.

1. **Unambiguous Object**: There are certain question types that inquire about an object that must be unique and unambiguous throughout the environment. Examples of such question types are location and color. For example, we should ask 'what room is the piano located in?' if there is only a single instance of a 'piano' in the environment. Hence, the functional forms for location and color have the following structure:

```
select(objects) \rightarrow singleton(objects) \rightarrow query(location/color).
```

select (objects) gets the list of all objects in the house and then singleton (objects) only retains objects that occur once, thereby ensuring unambiguity. The query (template) function prepares the question string by filling in the slots in the template string.

2. Unambiguous Room + Unambiguous Object: In continuation of the above, there is another set of question types that talk about objects in rooms where in addition to the objects being unique, the rooms should also be unambiguous. Examples of such question types include color_room, preposition, and distance. The additional unambiguity constraint on the room is because the question 'what is next to the bathtub in the bathroom?' would become ambiguous if there are two or more bathrooms in the house. The functional forms for such types are given by the following structure:

Template	Functional Form
location	select(objects) → singleton(objects) → blacklist(location) → query(location)
color	$\texttt{select} (\texttt{objects}) \rightarrow \texttt{singleton} (\texttt{objects}) \rightarrow \texttt{blacklist} (\texttt{color}) \rightarrow \texttt{query} (\texttt{color})$
color_room	$\texttt{select} (\texttt{rooms}) \rightarrow \texttt{singleton} (\texttt{rooms}) \rightarrow \texttt{select} (\texttt{objects}) \rightarrow \texttt{singleton} (\texttt{objects}) \rightarrow \texttt{blacklist} (\texttt{color}) \rightarrow \texttt{query} (\texttt{color_room})$
preposition	$\texttt{select} (\texttt{rooms}) \rightarrow \texttt{singleton} (\texttt{rooms}) \rightarrow \texttt{select} (\texttt{objects}) \rightarrow \texttt{singleton} (\texttt{objects}) \rightarrow \texttt{blacklist} (\texttt{preposition}) \rightarrow \texttt{relate} () \rightarrow \texttt{query} (\texttt{preposition})$
exist	$\texttt{select} (\texttt{rooms}) \to \texttt{singleton} (\texttt{rooms}) \to \texttt{select} (\texttt{objects}) \to \texttt{blacklist} (\texttt{exist}) \to \texttt{query} (\texttt{exist})$
logical	$\texttt{select} (\texttt{rooms}) \to \texttt{singleton} (\texttt{rooms}) \to \texttt{select} (\texttt{objects}) \to \texttt{blacklist} (\texttt{exist}) \to \texttt{query} (\texttt{logical})$
count	$\texttt{select} (\texttt{rooms}) \to \texttt{singleton} (\texttt{rooms}) \to \texttt{select} (\texttt{objects}) \to \texttt{blacklist} (\texttt{count}) \to \texttt{query} (\texttt{count})$
room_count	select(rooms) → query(room_count)
distance	$\texttt{select(rooms)} \rightarrow \texttt{singleton(rooms)} \rightarrow \texttt{select(objects)} \rightarrow \texttt{singleton(objects)} \rightarrow \texttt{blacklist(distance)} \rightarrow \texttt{distance()} \rightarrow \texttt{query(distance)}$

Table 2: Functional forms of all question types in the EQA dataset

```
select(rooms) → singleton(rooms) → select(objects) → singleton(objects) → query(template).
```

The first two operations in the sequence result in a list of unambiguous rooms whereas the next two result in a list of unambiguous objects in those rooms. Note that when $select(\cdot)$ appears as the first operation in the sequence (*i.e.*, select operates on an empty list), it is used to fetch the set of rooms or objects across the entire house. However, in this case, select(objects) operates on a set of rooms (the output of $select(rooms) \rightarrow singleton(rooms) \rightarrow$), so it returns the set of objects found in those specific rooms (as opposed to fetching objects across all rooms in the house).

3. Unambiguous Room: The final set of question types are the ones where the rooms need to be unambiguous, but the objects in those rooms that are being referred to do not. Examples of such question types are: existence, logical, and count. It is evident that for asking about the existence of objects or while counting them, we do not require the object to have only a single instance. 'Is there a television in the living room?' is a perfectly valid question, even if there are multiple televisions in the living room (provided that there is a single living room in the house). The template structure for this is a simplified version of (2):

```
select(rooms) \rightarrow singleton(rooms) \rightarrow select(objects) \rightarrow query(template).
```

Note that we have dropped singleton (objects) from the sequence as we no longer require that condition to hold true.

See Table 2 for a complete list of question functional forms.

Checks and balances. Since one of our goals is to benchmark performance of our agents with human performance, we want to avoid questions that are cumbersome for a human to navigate for or to answer. Additionally, we would also like to have a balanced distribution of answers so that the agent is not able to simply exploit dataset biases and answer questions effectively without exploration. This section

describes in detail the various checks that we have in place to ensure these properties.

- 1. Entropy+Frequency-based Filtering: It is important to ensure that the distribution of answers for a question is not too 'peaky', otherwise the mode guess from this distribution will do unreasonably well as a baseline. Thus, we compute the normalized entropy of the distribution of answers for a question. We drop questions where the normalized entropy is below 0.5. Further, we also drop questions that occur in less than 4 environments because the entropy counts for low-frequency questions are not reliable.
- 2. **Non-existence questions**: We add existence questions with 'no' as the answer for objects that are absent in a given room in the current environment, but which are present in the same room in other environments. For example, if the living room in the current environment does not contain a piano, but pianos are present in living rooms of other environments across the dataset, we add the question 'is there a piano in the living room?' for the current environment with a ground truth answer 'no'. The same is also done for logical questions.
- 3. **Object Instance Count Threshold**: We do not ask counting questions (room_count and count) when the answer is greater than 5, as they are tedious for humans.
- 4. **Object Distance Threshold**: We consider triplets of objects within a room consisting of an anchor object, such that the difference of distances between two object-anchor pairs is at least 2 metres. This is to avoid ambiguity in 'closer'l'farther' object distance comparison questions.
- 5. Collapsing Object Labels: Object types that are visually very similar (e.g. 'teapot' and 'coffee_kettle') or semantically hierarchical in relation (e.g. 'bread' and 'food') introduce unwanted ambiguity. In these cases we collapse the object labels to manually selected labels (e.g. ('teapot', 'coffee_kettle') \rightarrow 'kettle' and ('bread', 'food') \rightarrow 'food').

6. Blacklists:

• Rooms: Some question types in the EQA dataset have room names in the question string (e.g. color_room, exist, logical). We do not generate such questions

	Pixel Accuracy	Mean Pixel Accuracy	Mean IOU	-	Smooth- ℓ_1	-	Smooth- ℓ_1	
single	0.780	0.246	0.163	single	0.003	single	0.003	
hybrid	0.755	0.254	0.166	hybrid	0.005	hybrid	0.003	
(a) Segmentation				(b) Depth		(c) Au	(c) Autoencoder	

Table 3: Quantitative results for the autoencoder, depth estimation, and semantic segmentation heads of our multi-task perception network. All metrics are reported on a held out validation set.

for rooms that have obscure or esoteric names such as 'loggia', 'freight elevator', 'aeration' etc. or names from which the room being referred might not be immediately obvious e.g. 'boiler room', 'entryway' etc.

Objects: For each question template, we maintain a list of objects that are not to be included in questions. These are either tiny objects or whose name descriptions are too vague e.g. 'switch' (too small), 'decoration' (not descriptive enough), 'glass' (transparent), 'household appliance' (too vague). These blacklists are manually defined based on our experiences performing these tasks.

B. CNN Training Details

The CNN comprising the visual system for our EmbodiedQA agents is trained under a multi-task pixel-to-pixel prediction framework. We have an encoder network that transforms the egocentric RGB image from the House3D renderer [1] to a fixed-size representation. We have 3 decoding heads that predict 1) original RGB values (*i.e.* an autoencoder), 2) semantic class, and 3) depth for each pixel. The information regarding semantic class and depth of every pixel is available from the renderer. The range of depth values for every pixel lies in the range [0, 1] and the segmentation is done over 191 classes.

Architecture. The encoder network has 4 blocks, comprising of $\{5 \times 5 \text{ Conv}, \text{BatchNorm}, \text{ReLU}, 2 \times 2 \text{ MaxPool}\}$. Each of the 3 decoder branches of our network upsample the encoder output to the spatial size of the original input image. The number of channels in the output of the decoder depends on the task head -191, 1 and 3 for the semantic segmentation, depth and autoencoder branches respectively. We use bilinear interpolation for upsampling, and also use skip connections from the 2nd and 3rd convolutional layers.

Training Details. We use cross-entropy loss to train the segmentation branch of our hybrid network. The depth and autoencoder branches are trained using the Smooth- ℓ_1 loss. The total loss is a linear combination of the 3 losses, given by $L = L_{\text{segmentation}} + 10 \times L_{\text{depth}} + 10 \times L_{\text{reconstruction}}$. We use Adam [42] with a learning rate of 10^{-3} and a batch size of 20. The hybrid network is trained for a total of 5 epochs on a dataset of 100k RGB training images from the renderer.

Quantitative Results. Table 3 shows some quantitative results. For each of the 3 different decoding heads of our multi-task CNN, we report results on the validation set for

two settings - when the network is trained for all tasks at once (hybrid) or each task independently (single). For segmentation, we report the overall pixel accuracy, mean pixel accuracy (averaged over all 191 classes) and the mean IOU (intersection over union). For depth and autoencoder, we report the Smooth- ℓ_1 on the validation set.

Qualitative Results. Some qualitative results on images from the validation set for segmentation, depth prediction and autoencoder reconstruction are shown in Figure 6.

C. Question Answering Module

The question answering module predicts the agents' beliefs over the answer given the agent's navigation. It first encodes the question with an LSTM, last five frames of the navigation each with a CNN, and then computes dot product attention over the five frames to pick the most relevant ones. Next, it combines attention-weighted sum of image features with the question encoding to predict a softmax distribution over answers. See Fig. 7.

D. Human Navigation + Machine **QA**

In order to contrast human and shortest-path navigations with respect to question answering, we evaluate our QA model on the last 5 frames of human navigations collected through our Amazon Mechanical Turk interface. We find the mean rank of the ground truth answer to be 3.51 for this setting (compared to 3.26 when computed from shortestpaths). We attribute this difference primarily to a mismatch between the QA system training on shortest paths and testing on human navigations. While the shortest paths typically end with the object of interest filling the majority of the view, humans tend to stop earlier as soon as the correct answer can be discerned. As such, human views tend to be more cluttered and pose a more difficult task for the QA module. Fig. 10 highlights this difference by contrasting the last 5 frames from human and shortest-path navigations across three questions and environments.

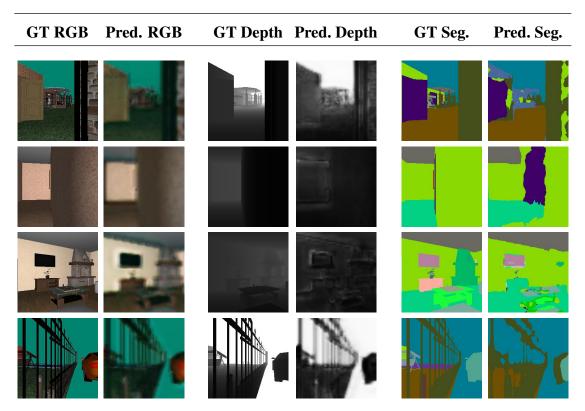


Figure 6: Some qualitative results from the hybrid CNN. Each row represents an input image. For every input RGB image, we show the reconstruction from the autoencoder head, ground truth depth, predicted depth as well as ground truth segmentation and predicted segmentation maps.

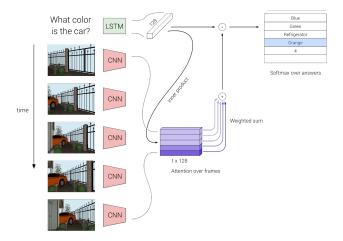


Figure 7: Conditioned on the navigation frames and question, the question answering module computes dot product attention over the last five frames, and combines attention-weighted combination of image features with question encoding to predict the answer.



Figure 8: Visualizations of queryable objects from the House3D renderer. Notice that instances within the same class differ significantly in shape, size, and color.

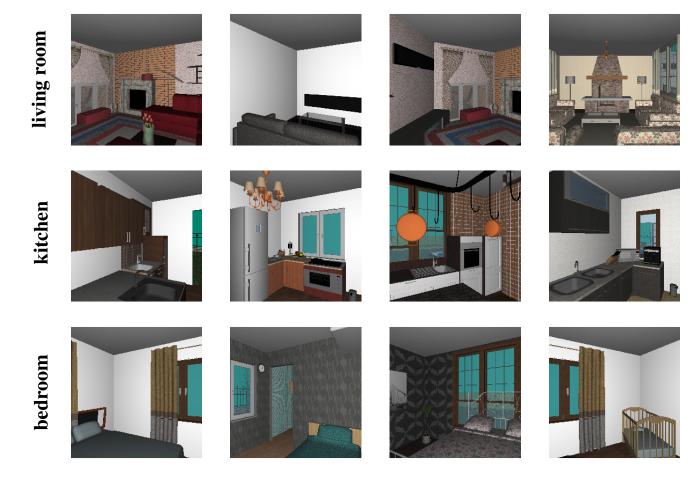
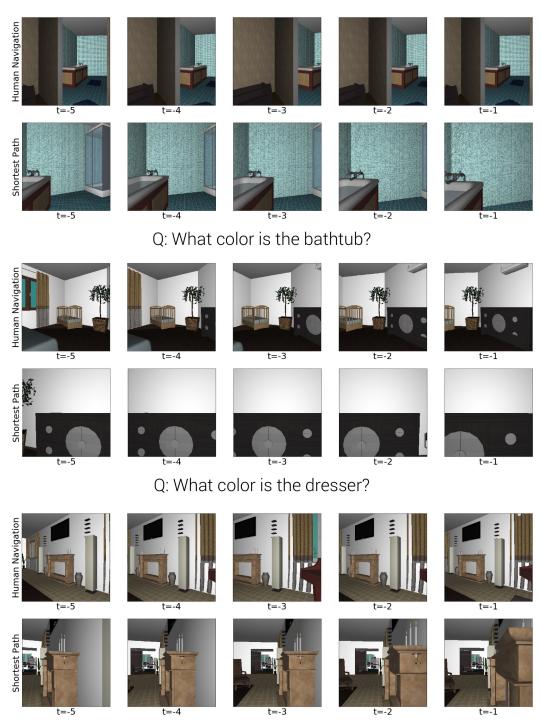


Figure 9: Visualizations of queryable rooms from the House3D renderer.



Q: What color is the fireplace in the living room?

Figure 10: Examples of last five frames from human navigation vs. shortest path.

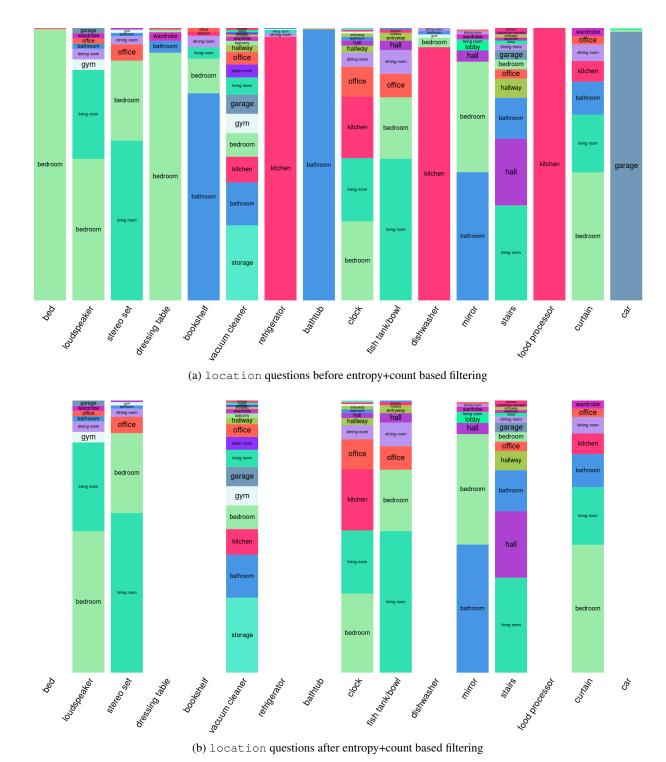
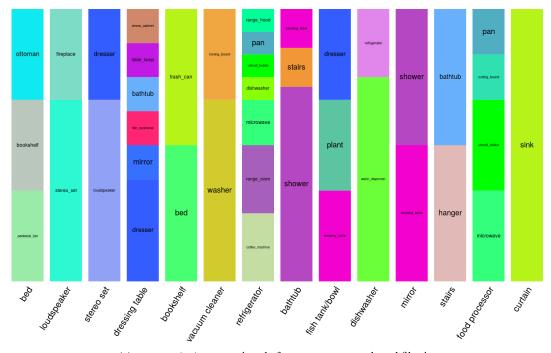
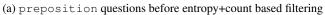
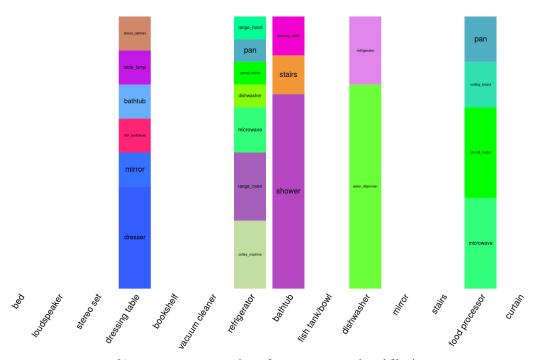


Figure 11: The answer distribution for location template questions. Each bar represents a question of the form 'what room is the <OBJ> located in?' and shows a distribution over the answers across different environments. The blank spaces in 11b represent the questions that get pruned out as a result of the entropy+count based filtering.

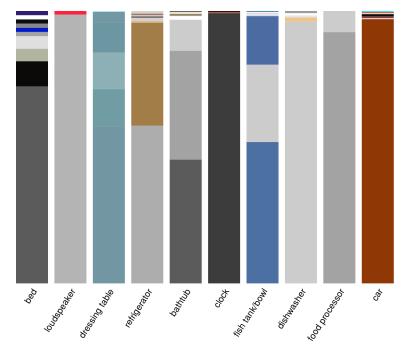




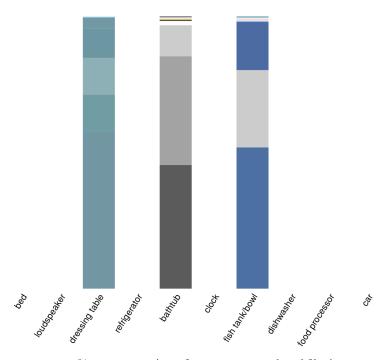


 $(b) \ {\tt preposition} \ questions \ after \ entropy+count \ based \ filtering$

Figure 12: The answer distribution for preposition template questions. Each bar represents a question of the form 'what is next to the <OBJ>?' and shows a distribution over the answers across different environments. The blank spaces in 12b represent the questions that get pruned out as a result of the entropy+count based filtering.



(a) color questions before entropy+count based filtering



(b) ${\tt color}$ questions after entropy+count based filtering

Figure 13: The answer distribution for color template questions. Each bar represents a question of the form 'what color is the <OBJ>?' and shows a distribution over the answers across different environments (the color of each section on a bar denotes the possible answers). The blank spaces in 13b represent the questions that get pruned out as a result of the entropy+count based filtering.

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